

The Original Harry Benjamin Syndrome Site

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Sexual differentiation of the human brain

in relation to gender identity

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Summary

During the intrauterine period the fetal brain develops in the male direction through a direct action of testosterone on the developing nerve cells, or in the female direction through the absence of this hormone surge. In this way, our gender identity (the conviction of belonging to the male or female gender) is programmed into our brain structures when we are still in the womb. However, since sexual differentiation of the genitals takes place in the first two months of pregnancy and sexual differentiation of the brain starts in the second half of pregnancy, these two processes can be influenced independently, which may result in transsexualism. This also means that in the event of ambiguous sex at birth, the degree of masculinization of the genitals may not reflect the degree of masculinization of the brain. There is no proof that social environment after birth has an effect on gender identity.

Sexual organization and activation of the human brain

The process of sexual differentiation of the brain brings about permanent changes in brain structures and functions via interactions of the developing neurons with the environment, understood in its widest sense. The environment of a developing neuron is formed by the surrounding nerve cells and the child's circulating hormones, as well as the hormones, nutrients, medication and other chemical substances from the mother and the environment that enter the fetal circulation via the placenta. All these factors may have a lasting effect on the sexual differentiation of the brain.

The testicles and ovaries develop in the sixth week of pregnancy. This occurs under the influence of a cascade of genes, starting with the sex-determining gene on the Y chromosome (SRY). The production of testosterone by a boy's testes is necessary for sexual differentiation of the sexual organs between weeks 6 and 12 of pregnancy. The peripheral conversion of testosterone into dihydrotestosterone is essential for the formation of a boy's penis, prostate and scrotum. Instead, the development of the female sexual organs in the womb is based primarily on the absence of androgens (1).

Once the differentiation of the sexual organs into male or female is settled, the next thing that is differentiated is the brain, under the influence, mainly, of sex hormones on the developing brain cells. The changes (permanent) brought about in this stage have organizing effects; later, during puberty, the brain circuits that developed in the womb are activated by sex hormones. This paradigm of sexual differentiation of the brain has been well established, ever since the first paper by Phoenix et al. (2).

The fetal brain is protected against the effect of circulating estrogens from the mother by the protein alpha-fetoprotein, which is produced by the fetus and binds strongly to estrogens but not to testosterone (3,4). However, estrogens do not only reach the brain via circulation: the brain itself is also capable of producing estrogens. In human beings testosterone may thus not only have a direct effect on a masculine brain, but, once converted into estrogens by aromatase, may also act on developing neurons. In addition, there are sex differences in brain steroid receptor distribution not only in adulthood (5-8) but also during development (9). In rats, the formation of estradiol in the brain by aromatization of circulating testosterone is the most important mechanism for virilization of the brain (10), but, as seen below, it does not determine human gender identity. There may also be direct genetic effects that affect the sexual differentiation of the brain without involving the sex hormone receptors. Some fetal rat brain cells undergo sexual differentiation, even in tissue culture, without the involvement of sex hormones (11,12).

The genes SRY and ZRY are candidates for this action since they are expressed until very advanced ages in the human brain, even though strictly speaking the role of these genes in sexual differentiation stops during development (13,14). There are at present many additional candidate genes for a role in sexual differentiation of the brain without the involvement of hormones, since it has been found that fifty genes

are expressed at different levels in the brains of male and female mouse fetuses, even before the hormones come into play (15). Also, genes that escape inactivation on the X chromosome (such as PCDH11X) could show sexually dimorphic expression and thus contribute to sexually dimorphic functions (16). Thus, the sexual differentiation of the brain is not caused by hormones alone, even though they are very important for gender identity.

Sex hormones and human brain development

During fetal development, the brain is influenced by sex hormones such as testosterone, estrogens and progesterone (17). From the earliest stages of fetal brain development, many neurons throughout the entire nervous system already have receptors for these hormones (9).

The early development of boys shows two periods during which testosterone levels are known to be high. The first surge occurs during mid-pregnancy: testosterone levels peak in the fetal serum between weeks 12 and 18 of pregnancy (18) and in weeks 34-41 of pregnancy the testosterone levels of boys are ten times higher than those of girls (19). The second surge takes place in the first three months after birth. At the end of pregnancy, when the alpha-fetoprotein level declines, the fetus is more exposed to estrogens from the placenta, this exposure inhibiting the hypothalamus-hypophysial-gonadal axis of the developing child. Loss of this inhibition once the child is born causes a peak in testosterone in boys and a peak in estrogens in girls (20). The testosterone level in boys at this time is as high as it will be in adulthood, although a large part of the hormone circulates bound.

During these two periods, therefore, girls do not show high levels of testosterone. These fetal and neonatal peaks of testosterone, together with the functional steroid receptor activity, are thought to fix the development of structures and circuits in the brain for the rest of a boy's life (producing "programming" or "organizing" effects). Later, the rising hormone levels that occur during puberty "activate" circuits and behavioral patterns that were built during development, in a masculinized and defeminized direction for male brains or in a feminized and de-masculinized direction for female brains.

The brain structure differences that result from the interaction between hormones and developing brain cells are thought to be the basis of sex differences in a wide spectrum of behaviors, such as gender role (behaving as a man or a woman in society), gender identity (the conviction of belonging to the male or female gender), and sex differences regarding cognition, aggressive behavior and language organization. Factors that interfere with the interactions between hormones and the developing brain systems during development in the womb may permanently influence later behavior.

As sexual differentiation of the genitals takes places much earlier in development (i.e. in the first two months of pregnancy) than sexual differentiation of the brain, which starts in the second half of pregnancy and becomes overt upon reaching adulthood, these two processes may be influenced independently of each other. In rare cases, this may result in transsexualism, i.e. people with male sexual organs who feel female or vice versa. It also means that in the event of an ambiguous sex at birth, the degree of masculinization of the genitals may not always reflect the degree of masculinization of the brain (21-24).

In addition, gender identity may be determined by prenatal hormonal influences, even though the prenatal hormonal milieu might be inadequate for full genital differentiation (25).

Programmed gender identity is irreversible

The irreversibility of programmed gender identity is clearly illustrated by the sad story of the John-Joan-John case (<u>the case of David Reimer</u>). In the 1960s and 1970s, in the context of the concept of behaviorism, it was postulated that a child is born as a tabula rasa and is subsequently forced in the male or female direction by society's conventions.

Although it is true that, in humans, self-face recognition appears to emerge at around 18 months of age (26) and that by the age of 2- 3 years children are able to correctly label themselves and others according to gender (27), there is no evidence that external or social events might modify these processes. However, J. Money argued that: "Gender identity is sufficiently incompletely differentiated at birth as to permit successful assignment of a genetic male as a girl. Gender identity then differentiates in keeping with the experiences of rearing" (28). This view had devastating results in the John-Joan-John case (29).

Money maintained that gender imprinting does not start until the age of 1 year, and that its development is well advanced by the age of 3-4 years (30). This was, indeed, the basis for the decision to make a girl out of an 8-month-old boy who lost his penis due to a mistake during minor surgery (i.e. an operation to correct phimosis). The testicles of this child were removed before he reached the age of 17 months in order to facilitate feminization. The child was dressed in girls' clothes, received psychological counseling and was given estrogens in puberty.

According to Money, this child developed as a normal female. However, Milton Diamond later made it clear that this had not been the case at all. In adulthood, this child changed back to male, married, and adopted several children (31). Unfortunately, he had a troubled life and committed suicide in May 2004.

This story illustrates the enormous programming influence of the intrauterine period on gender. Other cases have been described in the literature (32), due to enzymatic disorders (33-35) or to cloacal exstrophy (36), that support the existence of early permanent programming of brain sex by biological factors and androgen exposure, rather than by social environment and learning (17,37).

The mechanism of sexual differentiation of the brain: neurobiological factors

In male rats, testosterone is turned into estrogens by local aromatization in the brain, and these estrogens then masculinize certain brain areas. This finding agrees with the observation that in partially androgen insensitive (testosterone feminized - Tfm) male rats no reversion of the sex difference was present in the preoptic area (10) and the bed nucleus of the stria terminalis (38).

These animals retained a male neuroanatomy. Other brain nuclei, such as the posteromedial amygdala, the ventromedial hypothalamus and the locus coeruleus were, however, feminized in Tfm male rats (38-40).

In humans, however, the main mechanism appears to involve a direct effect of testosterone on the developing brain. Complete androgen insensitivity syndrome is

caused by mutations in the receptor gene for androgens. Despite their genetic (XY) masculinity, affected individuals develop as phenotypical women without gender problems (41).

On the other hand, when a boy fetus has a 5-alpha-reductase-2 or 17s-hydroxysteroid dehydrogenase-3 deficiency preventing peripheral testosterone from being transformed into dihydrotestosterone, a girl? with a large clitoris is born. These children are generally raised as girls. However, when testosterone production increases in these XY children during puberty, this clitoris? grows to penis size, the testicles descend, and the child build begins to masculinize and become muscular. Despite the fact that these children are initially raised as girls, the majority of them (60%) change into heterosexual males (24,34,35,42,43), apparently due to the organizing effect of testosterone on early brain development. Boys who are born with a cloacal exstrophy, i.e. with bladder exstrophy and a partly or wholly absent penis are usually changed into girls immediately after birth.

A survey showed that in adulthood only 65% of these children who were changed into girls continued to live as girls, and when individuals with gender identity disorder were excluded the figure dropped to 47% (44,45).

From these examples it appears that the direct action of testosterone on the developing brain in boys and the lack of it in the developing brain in girls are crucial factors in the development of male and female gender identity, although other sexually dimorphic functions still need to be investigated in these people.

Conversely, studies on cloacal exstrophy suggest that the postnatal testosterone peak is not crucial for gender identity development, given that these children generally undergo operation shortly after birth.

Sex differences in the human brain

A sex difference in brain weight is already present in children from the age of 2 years (46) and sex differences can thus be expected throughout the brain from early in development. In the adult human brain structural sex differences can be found from the macroscopic level (47) down to the ultramicroscopic level (48).

Functionally, too, a large number of sex differences in different brain regions have recently been described (49-53). Sexual differentiation of the human brain is also expressed in behavioral differences, including gender identity (22, 54-57), and in differences at the level of brain physiology and in the prevalence of neurological and psychiatric disorders (57-59). In the current review we focus on the sex differences in the human hypothalamus and adjacent areas.

When observed by our group, the structural difference in the intermediate nucleus of the human hypothalamus (InM) (60-62) was at first termed the sexually dimorphic nucleus of the preoptic area (SDN-POA) (63). We found this nucleus to be 2.5 times larger in men than in women and to contain 2.2 times as many cells (63). Allen et al., (64) described four interstitial nuclei of the anterior hypothalamus (INAH1-4) and found, in men compared to women, a larger volume of the INAH3 and INAH2 subdivisions (respectively 2.8 and 2 times greater). The fact that they could not find a sex difference in INAH1 (= SDN-POA), as found by us (63), could be fully explained by the strong age effect on the sex differences of this nucleus (58,65).

In fact, the sex difference develops only after the age of 5 years and disappears

temporarily after the age of 50 years (63,66,67). Moreover, it is now clear that what we called the SDNPOA (63) is actually a horseshoe-shaped structure that can show up in sections as two separate nuclei (58,62), which Allen et al., (64) called INAH1 and 2, or as just one nucleus, called the intermediate nucleus (62) or SDN-POA (63). Further analysis of INAH1 and 2 in persons with transsexualism is ongoing, and confirms the presence of a clear sex difference in adult controls up to 50 years of age.

We recently localized and delineated the uncinate nucleus (Un) using three different stainings, i.e. thionin, neuropeptide-Y and synaptophysin. We found sex differences in volume and neuron number in the INAH3 subdivision while no differences were found for INAH4 (68, Fig. 1). The INAH3 volume size and the presence of a sex difference in INAH3 volume size fully agreed with previously reported data (54,64,69,70), as did the sex difference for the number of neurons in INAH3. A number of different names have been used to refer to the two Un subnuclei (68): i) periventricular and uncinate nucleus (the former closer to the third ventricle than the latter) (61); ii) INAH4 (closer to the third ventricle than the INAH3) (64); and, most recently, iii) lateral and medial subdivisions of the Un (62).

In view of the evidence provided by neurochemical markers such as neuropeptide- Y and synaptophysin, and the fact that they appear as one structure in some subjects, there are indeed arguments in favor of considering these two subdivisions a single structure called the Un. Koutcherov et al. also suggested that the Un was the homolog of the rat central subdivision of the medial preoptic nucleus (MPOc) (62) that, in this animal, is clearly related to the brain network for input and output of male sexual behavior (17,71).

Moreover, INAH3 was found in (XY) women with transsexualism to be small (of female size and cell number), while the INAH4 subdivision did not show gender-related differences, morphological or otherwise, between men and women (68; Fig. 1). In addition, sex differences were found in the INAH3 volume as delineated by neuropeptide Y, but not in INAH4.

Other sex differences have been found in the human anterior commissure, the interthalamic adhesion and in the corpora mamillaria (58,72).

Sex hormone receptors and neurosteroids

Sex hormone receptors, too, are expressed in a sexually dimorphic way in the human hypothalamus and adjacent areas.

In most hypothalamic areas that show androgen receptor (AR) staining, nuclear staining, in particular, is less intense in women than in men. The strongest sex difference was found in the lateral and the medial mamillary nucleus (MMN; 73). The mamillary body complex is known to be involved in several aspects of sexual behavior, such as arousal of sexual interest and penile erection (58,73,74).

In addition, a sex difference in AR staining was present in the horizontal diagonal band of Broca, SDN-POA, medial preoptic area (MPO), dorsal and ventral zone of the periventricular nucleus (PVN), supraoptic nucleus (SON), ventromedial hypothalamic nucleus, and infundibular nucleus (INF).

However, no sex differences were observed in AR staining in the adult bed nucleus of the stria terminalis (BST), the nucleus basalis of Meynert, and the islands of Calleja (73). A female-like pattern was found in 26- and 53-year-old castrated men

and in intact old men. These data indicate that the amount of nuclear receptor staining in the adult mamillary complex is dependent on the circulating levels of androgens rather than on gender identity. This idea is supported by the fact that a male-like pattern of AR staining was found in a 36-year-old non-castrated women with transsexualism (T6) and a heterosexual virilized woman aged 46 (5), while a female-like pattern for INAH3 volume and number of cells was found in the former patient (T6) (68).

Various sex differences have been observed for estrogen receptor alpha (ER-alpha) staining in the hypothalamus and adjacent areas of young adult human subjects. More intense nuclear ER-alpha immunoreactivity was found in young men compared with young women, for example, in the SDN-POA, the SON, and the PVN. Women showed a stronger nuclear ER-alpha immunoreactivity in the suprachiasmatic nucleus (SCN) and MMN. No sex differences in nuclear ER-alpha staining were found in, for example, the central subdivision of the bed nucleus of the stria terminalis (BSTc), the islands of Calleja, or in the INF. More intense nuclear ER-alpha staining was found in men, for example, in neurons of the BSTc, the islands of Calleja, and the SDN-POA. Women showed more nuclear ER-alpha staining in the SCN, the SON, the PVN, the INF and the MMN (75).

Observations in subjects with abnormal hormone levels showed, in most areas, ERalpha immunoreactivity distribution patterns that were consistent with the level of circulating estrogens, suggesting that the majority of the reported sex differences in ER-alpha immunoreactivity are "activational" rather than "organizational" in nature (76, 77).

In the BSTc, differences in sex hormone receptors such as ER-alpha, ER-beta, the AR and progesterone receptor (PR), are present from fetal age onward. More nuclear estrogen receptors were observed in females than in males during the fetal/neonatal ages, whereas there were no overt sex differences in the other three sex hormone receptors detected. In adult men ER and PR immunoreactivity was more pronounced in the BSTc of men than in women (9). Hence, the sensitivity of the BSTc for the different sex hormones depends strongly on sex and age.

Transsexualism (Harry Benjamin Syndrome)

There is a vast array of factors that may lead to gender problems. Twin and family research has shown that genetic factors play a part (78,79). Rare chromosomal abnormalities may lead to transsexualism (80), and it was recently found that polymorphisms of the genes for ER-alpha and ER-beta, AR repeat length polymorphism, and polymorphisms in the aromatase or CYP17 gene also produced an increased risk (79,81,82).

Abnormal hormone levels during early development may play a role, as suggested by the high frequency of polycystic ovaries, oligomenorrhea and amenorrhea in (XX) men with transsexualism. This observation suggests early intrauterine exposure of the female fetus to abnormally high levels of testosterone (83). A recent study did not confirm a significantly increased prevalence of polycystic ovary syndrome. However, there was a significantly higher prevalence of hyperandrogynism in men with transsexualism, also indicating the possible involvement of high testosterone levels in transsexualism (84). A girl with congenital adrenal hyperplasia (CAH), who has been exposed to extreme levels of testosterone in utero, will also have an increased chance becoming a form of transsexualism. Although the likelihood of transsexualism developing in such cases is 300-1000 greater than normal, the risk for transsexualism in CAH is still only 1-3% (85), whereas the probability of serious gender problems is 5.2% (86). The consensus is, therefore, that girls with CAH should be raised as girls, even when they are masculinized (24).

Epileptic women who were given phenobarbital or diphantoin during pregnancy have an increased risk of giving birth to a child with transsexualism (<u>Harry Benjamin</u> <u>Syndrome</u>). Both these substances change the metabolism of the sex hormones and can act on the sexual differentiation of the child's brain. In a group of 243 women who had been exposed to such substances during pregnancy, Dessens et al. (87) found three children with Harry Benjamin Syndrome, these are relatively high rates for such a rare condition. On the "DES sons" (diethylstilbestrol, an estrogen-like substance - see later) website they claim that Harry Benjamin Syndrome occurs in 35.5% of the DES cases (88,89). This is alarming, but needs, of course, to be confirmed in a formal study.

There are no indications that postnatal social factors could be responsible for the occurrence of Harry Benjamin Syndrome (90). Only in 23% of cases does a childhood gender problem lead to Harry Benjamin Syndrome in adulthood.

Harry Benjamin Syndrome and the brain

The theory on the origins of Harry Benjamin Syndrome is based on the fact that the differentiation of sexual organs takes place during the first couple of months of pregnancy, before the sexual differentiation of the brain.

As these two processes have different timetables, it is possible, in principle, that they take different routes under the influence of different factors. If this is the case, one might expect to find, in people with Harry Benjamin Syndrome, female structures in a male brain and vice versa, and indeed, we did find such reversals in the central nucleus of the BSTc and in the INAH3, two brain structures that, in rats, are involved in many aspects of sexual behavior (17,58).

We found a clear sex difference in the human BSTc and INAH3. In men the BSTc area was twice that found in women and contained twice as many somatostatin neurons (94,95). The same was true of the INAH3, which was found to be 1.9 times larger in men than in women and to contain 2.3 times as many neurons (68; Fig. 1). In (XY) women with Harry Benjamin Syndrome we found a completely female BSTc and INAH3. Until now we have only been able to obtain material from one (XX) man with Harry Benjamin Syndrome, and his BSTc and INAH3 indeed turned out to have all the male characteristics. We were able to exclude the possibility that the reversal of sex differences in the BSTc and INAH3 were caused by changing hormone levels in adulthood (68,94,95), and it therefore seems that we are dealing with a developmental effect.

Our observations thus support the above-mentioned neurobiological theory about the origin of Harry Benjamin Syndrome. The size of the BSTc and the INAH3 and their number of neurons match the gender that persons with HBS feel they belong to, and not the sex of their sexual organs, birth certificate or passport. Unfortunately, the sex difference in the BSTc volume does not become apparent until early adulthood (96), meaning that this nucleus cannot be used for early diagnosis of Harry Benjamin Syndrome. In women with Harry Benjamin Syndrome (HBS) who receive hormonal treatment, some intermediate values, between those typical for men and women, have been found for lateralization and cognitive performance (90). Recently, functional reversals have been reported in the brains of HBS patients.

A functional magnetic resonance imaging (fMRI) study in women with HBS, who were not treated hormonally, showed that a number of brain areas in the HBSrelated section of the hypothalamus were activated by pheromones in a sex-atypical way. Although the functional reactions in the hypothalamus to an estrogen-derived pheromone were predominantly female, women with Harry Benjamin Syndrome also showed some characteristics of a male activation pattern (97). When viewing erotic stimuli, women with HBS before treatment tended to display femalelike cerebral processing on fMRI (98).

Concluding remarks

The human fetal brain develops in the male direction through a direct action of testosterone and in the female direction through the absence of this hormone. During the intrauterine period, gender identity (the conviction of belonging to the male or female gender), cognition, aggression and other behaviors are programmed in the brain in a sexually differentiated way.

Sexual differentiation of the genitals takes place in the first two months of pregnancy, whereas sexual differentiation of the brain starts in the second half of pregnancy. This means that in the event of an ambiguous sex at birth, the degree of masculinization of the genitals may not reflect the degree of masculinization of the brain.

Our observations on reversed sex differences in the brains of patients with transsexualism (Harry Benjamin Syndrome) support the idea that HBS is based on an opposite sexual differentiation of i) sexual organs during the first couple of months of pregnancy and ii) the brain in the second half of pregnancy.

There is no proof that the social environment after birth has an effect on the development of gender identity, while the possible effects on sexual differentiation of the brain by endocrine disrupters in the environment and in medicines given to the pregnant mother should be investigated.

The differences observed in the INAH3 in relation to gender identity and this structure's possible connection with the BSTc suggest that these two nuclei and the two earlier described nuclei that were found to be related to gender identity, i.e. the SDN-POA (= intermediate nucleus = INAH1 and 2) and SCN, are all part of a complex network involved in various aspects of gender identity.

Neurobiological research on gender identity in humans is only just gathering momentum, but the evidence shows that humans have a vast array of brain differences related to gender. There is a need for further multidisciplinary research on the putative influence of testosterone in development, e.g. in individuals with complete androgen-insensitivity syndrome.

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